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Does the nicotine metabolite ratio moderate smoking cessation treatment outcomes in real-world settings? A prospective study.

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Competing interests

Lion Shahab has received honoraria for talks, an unrestricted research grant and travel expenses to attend meetings and workshops from Pfizer and an honorarium to sit on advisory panel from Johnson & Johnson, both pharmaceutical companies that make smoking cessation products. He has acted as paid reviewer for grant awarding bodies and as a paid consultant for health care companies. Other research has been funded by the government, a community-interested company (National Centre for Smoking Cessation) and charitable sources. He has never received personal fees or research funding of any kind from alcohol, electronic cigarette or tobacco companies. Rachel Tyndale has consulted for Apotex and Quinn Emanuel on topics unrelated to smoking or NMR. The other authors have no conflict of interests.

Abstract

Background and aims: In smoking treatment trials comparing varenicline with transdermal nicotine replacement therapy (NRT), stratified by nicotine metabolite (3-hydroxycotinine/cotinine) ratio (NMR), the relative benefit of varenicline is greater among normal rather than slow metabolisers. This study tested if the relative effectiveness of varenicline and NRT is associated with NMR status in a natural treatment setting. A secondary aim was to test if this relationship is moderated by behavioural support.

Design: Prospective observational multi-centre study with 4-week and 52-week follow-up.

Setting: Nine English Stop Smoking Services (SSS)

Participants: Data came from 1,556 smokers (aged ≥ 16 years) attending SSS between March-2012 and March-2013.

Interventions: Participants received pharmacotherapy together with behavioural support.

Measurements: The primary outcome was carbon-monoxide verified continuous abstinence at both follow-up times. Main explanatory variables were 1) NMR status (slow [NMR<0.31, N=454] vs. normal [NMR \geq 0.31, N=1,109] metabolisers); 2) Pharmacotherapy (varenicline vs. NRT) and 3) Behavioural support (individual vs. group-based treatment). Analyses adjusted for baseline sociodemographic, SSS, mental/physical health and smoking characteristics.

Findings: Of participants, 44.2% (95% confidence interval (CI) 41.7-46.6%) and 8.0% (95%CI 6.8-9.5%) were continuously abstinent at 4-weeks and 52-weeks. Varenicline was more effective than NRT at 4-weeks ($p<0.001$) but only marginally so at 52-weeks ($p=0.061$). There was no or inclusive evidence that NMR status moderated relative efficacy of varenicline and NRT at 4-week ($p=0.60$, Bayes Factor (BF)=0.25) or 52-week follow-ups ($p=0.74$, BF=0.73). However, this relationship was moderated by behavioural support ($p=0.012$): the

relative benefit of varenicline over NRT at 52-week follow-up was greater in slow, not normal, metabolisers receiving group rather than individual support ($p=0.012$).

Conclusions: In a real-world setting, the nicotine metabolite ratio status of treatment-seeking smokers does not appear to contribute substantially to the differential effectiveness of varenicline and nicotine replacement therapy in Stop Smoking Services, when both pharmacotherapy and behavioural support are self-selected.

Keywords: Nicotine metabolism, smoking, smoking cessation, stop smoking services, nicotine replacement therapy, varenicline, pharmacogenomics

Introduction

Despite the existence of effective behavioural and pharmacological smoking cessation interventions, most treatment-seeking smokers will still fail even with additional support.(1-7) Given our increasing understanding of the molecular genetics of smoking and evidence of substantial heritability for tobacco addiction,(8, 9) one option to improve cessation rates is to prescribe pharmacological treatment on the basis of genetically-informed biomarkers.(10, 11) The rationale is that the same genetic factors which predispose an individual to nicotine addiction may also moderate the response to pharmacotherapy.(12) One such candidate biomarker is the nicotine metabolite ratio (NMR), calculated as the quotient of two major metabolites of nicotine (3'hydroxycotinine (3HC) and cotinine), which functions as a phenotypic surrogate of nicotine clearance.(13)

The liver enzyme CYP2A6, part of the cytochrome P450 enzyme system, is largely responsible for the metabolism of nicotine into cotinine,(14) and exclusively responsible for cotinine's metabolism into 3'hydroxycotinine.(15) The encoding gene *CYP2A6* is highly polymorphic and has been associated with nicotine dependence and smoking behaviour.(16, 17) However, as a phenotypic marker, NMR has an advantage over genotypic markers by incorporating genetic, environmental and demographic influences on nicotine metabolism.(18, 19) It can also be measured easily and non-invasively from saliva and urine as well as blood.(20) Both NMR and categorised NMR (into slow vs normal/fast metabolisers) have been shown to be stable over time in *ad libitum* (21, 22) and treatment-seeking smokers,(23) and independent of smoking patterns and time since last cigarette given the comparatively long half-lives of cotinine and 3HC.(13, 21) The NMR appears suitable for one-time assessments, correlates well with clearance of nicotine that is orally or intravenously administered (13, 24) and is not affected by time of sampling.(21, 25) Although NMR varies somewhat with sex,(26) race,(27), age(28) and body-mass index,(22) it is relatively consistent across different socio-demographic

and health characteristics, with such factors accounting for less than 8-9% in variance of NMR.(19, 29, 30) However NMR can be influenced by both environmental inducers and inhibitors, some of which can be transitory.(19)

NMR is related to smoking behaviour in a number of ways. Smokers with a higher NMR, who therefore metabolise nicotine more quickly, also tend to be heavier smokers.(31) In addition, faster metabolisers appear to smoke cigarettes more intensely, resulting in higher exposure to tobacco-related carcinogens.(32) However, the association of NMR with nicotine dependence and withdrawal symptoms is less clear-cut, with some but not all studies finding an association of greater dependence and more severe withdrawal symptoms among faster metabolisers.(20, 31) Similarly, data on the association of NMR with smoking cessation outcomes are mixed. On the one hand, studies of pharmacological treatments have shown that slow metabolisers tend to have lower relapse rates than normal/fast metabolisers when treated with nicotine patch,(33, 34) with placebo(35) and irrespective of treatment provided,(36) whereas other studies have found an opposite pattern, with lower relapse rates among faster metabolisers using nicotine replacement therapy (with metabolism defined by genotype)(37) or when not using any treatment (with metabolism defined by NMR).(38) Others still find no difference in the effect of NMR on treatment with NRT but higher overall abstinence rates among slow metabolisers.(39) Reflecting this uncertainty in the literature, a recent Cochrane review was inconclusive with regards to superior efficacy of specific pharmacological treatment as a function of NMR.(40)

The most rigorous assessment of the potential role of NMR for personalising pharmacotherapy for smoking cessation comes from a recent placebo-controlled clinical trial which prospectively randomized to treatment arm (varenicline or NRT patch) by NMR stratification.(41) Clinical trials directly comparing varenicline with NRT have shown that varenicline is generally more effective than NRT.(42) In contrast, this study found a significant NMR by treatment

interaction, suggesting that varenicline was relatively more effective than transdermal NRT only for normal/fast (6-months abstinence rates: 22% vs. 13.6%) but not slow metabolisers (19.1% vs. 21.6%). The implication is that in future normal/fast metabolisers should preferentially be prescribed varenicline and slow metabolisers transdermal NRT. However, given conflicting evidence to date and a call for replication and validation of NMR studies in different contexts,(43) extension of these findings to other populations (treatment-seeking smokers), different operationalisations of NMR and geographic locations is now required. This is particularly important since there are well-known differences in the treatment provision and participant characteristics for clinical trials as compared with general population studies,(44) and consequent failures to replicate trial findings, e.g. for smoking cessation treatments, (45) based on real-world data. We have previously shown that the choice of pharmacotherapy in real-world settings (stop smoking services in England, SSS) is not influenced by NMR status, suggesting there is scope to optimise treatment allocation.(30) However, in this context it is also be important to consider other non-pharmacological treatment factors as the uptake of behavioural support was shown to differ as a function of NMR status, with normal metabolisers being less likely to choose group over individual support than slow metabolisers.(30) The importance of this needs to be explored further.

In a large sample of treatment seekers in the UK, the present study therefore aimed to:

- 1) test whether NMR status (slow vs. normal) moderates the short- and long-term effectiveness of NRT compared with varenicline for smoking cessation in real-world settings

- 2) assess whether results are consistent across different operationalisations of NMR (as a continuous measure or based on quartiles) or when restricting pharmacotherapy to varenicline and transdermal nicotine patch alone
- 3) test whether this relationship is moderated by the type of behavioural treatment (individual or group support) received

Methods

Design

This is a prospective observational study ('Evaluating Long-term Outcomes of NHS Stop Smoking Services') or 'ELONS' study carried out in nine SSS across three regions of England (North, South and Midlands). Participants were recruited into the study at their first visit, where stage they provided saliva samples to determine NMR status, and were followed up via the SSS up until 4 weeks post their quit date to determine short-term continuous abstinence. Participants confirmed to be abstinent at 4-week follow-up were re-contacted by the research team at 52 weeks post-quit to determine long-term continuous abstinence.

Participants and Procedure

The ELONS study recruited and consented 3,044 participants, who were not pregnant and aged 16 or above, who accessed nine SSS in England between March 2012 and March 2013 and set a firm quit date.

For the purpose of this analysis, participants who elected to not receive pharmacotherapy, only bupropion or who chose combination therapy of NRT with varenicline or bupropion were excluded. Full details on ELONS methodology can be found elsewhere.⁽⁴⁶⁾ Of ELONS participants, 61.6% (N=1,875) agreed to provide saliva samples prior to start of treatment (of which 44 samples were not useable and five lost in the post; see ⁽⁴⁷⁾ for details) and 51.1% (N=1,556) had complete baseline data and fulfilled inclusion and exclusion criteria, and thus constitute the analytic sample. In addition to providing saliva samples, participants also completed questionnaires to assess sociodemographic, smoking, health-related, and treatment characteristics prior to start of treatment.

Measures

Outcome variables

Short- and long-term continuous abstinence

Continuous abstinence were assessed at both 4-week and 52-week follow-up post target quit date.(46) As per standard SSS criteria, abstinence at 4-week follow-up was defined as complete abstinence from smoking in the past two weeks, verified by an expired air-carbon monoxide (CO) reading below 10ppm, conducted at the SSS. As this study was only interested in determining verified prolonged abstinence, only those participants who were defined as abstinent at 4-week follow-up were further followed up at 52 weeks. Smoking abstinence was again verified by CO reading, conducted at the home of participants by the market research company TNS BMRB. Following recommended practice, participants lost to follow up were considered to be still smoking.(48)

Explanatory variables

Nicotine Metabolite Ratio

Saliva samples were collected with Sarstedt Salivettes® and posted to University College London where they were stored in -20°C freezers before being shipped to the University of Toronto or ABS laboratories for analysis. As earlier interlaboratory studies have shown comparable results among different these laboratories,(49, 50) which was also the case in the current study (see (30) for details), analyses from both laboratories were pooled. As described previously,(30) established LC-MS/MS methodology with a 1 ng/mL limit of quantification (LOQ),(25, 50) was used to determine cotinine (COT) and trans-3'-hydroxycotinine (3HC) levels in saliva samples to calculate the NMR ratio (3HC/COT). Examination for analytical shift and reliability (conducted on 5% of samples) showed NMR results to be highly reliable ($R^2=0.984$) with no association between change in NMR and time between analyses

($R^2=0.004$). Given that NMR may be unstable for occasional and light smokers,(51) samples with cotinine values below the standard cut-off for smoking (10 ng/ml) were excluded. In cases where COT values were above 10 ng/ml but 3HC was below the limit of quantification (LOQ), the 3HC value was replaced by LOQ divided by the square root of two to compute the NMR.(52) Based on population data from the previous prospectively NMR randomized clinical trial, (41) participants in the analytic sample were classified into normal ($NMR \geq 0.31$; $N=1,105$; 71.0%) or slow ($NMR < 0.3$; $N=451$; 29.0%) metaboliser (see Table 1). Further information on socio-demographic differences by NMR status has been published elsewhere.(46)

Pharmacotherapy and behavioural support characteristics

In this observational study, following consultation, participants freely chose their treatment that was recorded by SSS practitioners. Pharmacotherapy was dichotomised into varenicline or NRT product use (single or combined NRT). As indicated above, participants with combination non-NRT/NRT treatment, bupropion or no pharmacotherapy were excluded from the analysis. The type of behavioural support chosen was also recorded as individual (one-to-one; non-group drop-in) vs. group-based (open/rolling groups; closed groups) support. Further information on socio-demographic differences by treatment characteristics has been published elsewhere.(46)

Covariates

Sociodemographic characteristics

Standard sociodemographics (age, sex, socioeconomic status (SES), ethnicity, marital status) were recorded by SSS staff at baseline. SES was measured with the National Statistics Socio-economic Classification (NSSEC)(53) was used as a measure of SES grouped into higher vs. lower SES, using the NSSEC coding ABC1/C2DE (managerial occupations/manual and unemployed). Due to a relatively small number of participants with an ethnic minority background, ethnicity was split into White British and other.

Stop Smoking Service and smoking characteristics

As local funding rules and policies are likely to affect treatment choice and success rates,(54) SSS location was recorded, divided into North, Midlands and South regions of England. At baseline, nicotine dependence was measured with the Heaviness of Smoking Index (HSI)(55), classifying participants as having high dependence (HSI score 4-6) or low dependence (HSI score 0-3).(56) Determination to quit was assessed on a one-item 4-point Likert scale (ranging from 'not at all determined' to 'extremely determined') and whether participants had attempted to quit in the previous 12 months was also recorded (yes/no).

Health-related characteristics

Participants were asked to provide information about any medical conditions and those with at least one condition were coded as having poorer physical health compared with those without. Participants also completed the World Health Organisation (WHO)-5 wellbeing index,(57) a tool used in primary care to determine psychological wellbeing using five questions scored from 0-5 with higher scores indicating better quality of life. Scores were summated and converted into a percent of the maximum score (25) with scores $\leq 50\%$ indicating low subjective wellbeing.(58)

Analyses

In univariate analyses, group differences between the analytic and excluded sample in sociodemographic, smoking, health-related, NMR and treatment characteristics and smoking outcomes were assessed using chi-square/t-tests for categorical/continuous variables, respectively. Multi-variable log-binomial generalised linear models were used to provide risk ratios (RR). Analyses tested the independent relationships between smoking outcomes at 4-week and 12-month follow-up and predictors, including a pharmacotherapy choice (NRT vs. varenicline) by NMR status (slow vs normal NMR) interaction term to determine whether

treatment effectiveness varied as a function of nicotine metabolism as well as their respective main effects, adjusting for covariates in Table 1. Age was transformed using the standard deviation of the variable as the scaling factor as it did not meet linearity assumptions.(59) Due to insufficient numbers, SSS location was not modelled as a random effect but included as a covariate in analysis. In sensitivity analyses, NMR status was also defined based on quartiles to classify slow (1st quartile) vs fast (4th quartile) metabolisers and NMR was entered as a continuous variable. Bayes factors (BF) were also calculated for the primary analysis using standard cut-offs to confirm findings and determine whether results can be interpreted as evidence to support the null-hypothesis ($BF < 1/3$), the alternative hypothesis ($BF > 3$) or whether data were inclusive ($BF 1/3 < \text{and} < 3$). This was based on detecting an effect equivalent to the clinical trial data (41), using standard methodology with a half-normal distribution and mean difference parameter estimates to represent the alternative hypothesis (60). Furthermore, given that previous clinical work had compared only the relative effectiveness of NRT patch vs varenicline among slow and normal metabolisers,(41) the sample was restricted to users of these specific pharmacotherapies, and as the uptake of group vs. individual support has been shown to differ as a function of NMR status,(30) a higher order interaction term (behavioural support x NMR status x pharmacotherapy) in addition to lower order interaction terms and main effects was included in the main model to assess robustness of findings.

Results

As shown in Table 1, the analytic sample (N=1,556) was somewhat younger, less likely to be female, white, to be extremely determined to quit or from the North of England than the excluded sample. They were also more likely to use group support. However, NMR status did not differ between those participants in the analytic and excluded samples who had provided saliva (Table 1). At 4-week follow-up, 44.2% (95% confidence interval (CI) 41.7-46.6%) of participants were verified as continuously abstinent; this rate dropped to 8.0% (95%CI 6.8-9.5%) at the 12-month follow-up. Figure 1 shows the raw abstinence rates broken down by type of pharmacotherapy used and NMR status (supplementary Figure S1 presents adjusted data).

1) Does NMR status moderate the short- and long-term effectiveness of nicotine compared with non-nicotine pharmacotherapy in SSS?

The effect of pharmacotherapy on outcomes did not appear to be moderated by NMR status. This was confirmed in adjusted analysis. There was no interaction of NMR status by pharmacotherapy choice on either 4-week or 52-week follow-ups when controlling for all other variables (see Table 2). Bayes factors indicated that for the 4-week follow-up there was no effect (BF=0.25) but that for the 52-week follow-up data were inconclusive (BF=0.73). Given the lack of a support for the alternative hypothesis, the interaction term was therefore removed for the analyses below. Greater abstinence rates at 4-week and 52-week follow-up were independently associated with lower dependence and being married or cohabiting. Higher socio-economic status and use of varenicline were also associated with greater abstinence rates at 4-week follow-up but only marginally so at 52-week follow-up (Table 2). In addition, older

age, greater determination to quit, using group support and attending SSS in the North or Midlands region of England were associated with greater abstinence rates at 4-week follow-up, only.

2) Are results consistent across different operationalisations of NMR or when restricting pharmacotherapy to varenicline and transdermal nicotine patch alone?

Further sensitivity analyses were conducted to assess the robustness of findings. Characterising participants into slow vs fast metabolisers based on quartiles or using NMR as a continuous variable did not affect the observed associations, or lack thereof. Similarly, restricting the sample to NRT patch and varenicline users only did not alter results materially (see Supplementary Tables S1-S3).

3) Is the relationship between smoking cessation outcomes, NMR status and pharmacotherapy moderated by the type of behavioural treatment received?

Lastly, a higher order interaction was included in the sensitivity analysis to determine whether the putative effect of NMR status on pharmacotherapy effectiveness is dependent on the type of behavioural support provided. This was considered, at least in part, as we had previously observed self-selection of group support by NMR, where normal metabolizers were less likely to use group support.⁽³⁰⁾ Behavioural support choice moderated the impact of the NMR status by pharmacotherapy choice relationship on the 52-week (Wald $X^2=6.33$, $p=0.012$) but not 4-week follow-up abstinence rates. As can be seen in Figure 2, the relative benefit of varenicline over NRT at 52-week follow-up was greater in slow metabolisers receiving group rather than individual support (adjusted RR for interaction 11.3, 95%CI 1.76-71.7; $p=0.011$), whereas this was not the case for normal metabolisers (adjusted RR for interaction 0.71, 95%CI 0.25-2.02; $p=0.515$). These results were not materially altered when including additional covariate-

exposure interactions for two established determinants for smoking cessation, nicotine dependence and social grade (61).

Discussion

This study set out to evaluate whether NMR status moderates the impact of NRT relative to varenicline on smoking cessation rates in a general population sample of treatment seeking smokers. Contrary to previous clinical work,(41) we did not observe a benefit of varenicline over NRT for normal metabolisers as compared with slow metabolisers. We also did not find any differences in abstinence rates between normal and slow metabolisers when controlling for other known confounders. In agreement with previous work,(42, 61, 62) greater abstinence rates were associated with lower dependence and living together with a partner and, to a lesser degree, with social grade as well as treatment with varenicline rather than NRT. Short-term abstinence only was also associated with older age, determination to quit and group rather than individual support as has been previously shown.(54, 63)

Several reasons may account for the failure to replicate clinical trial findings in this real-world study. First, this may be due to differences in the socio-demographic composition of the type of participants included in clinical trials and population studies. Clinical trials often exclude smokers with comorbidities such as mental health issues and may attract more proactive participants, motivated by financial remuneration. The NMR-based clinical trial also excluded individuals taking drugs which were known inhibitors of CYP2A6, which could transiently (or longer) convert a normal metabolizer to a slow metabolizer.(41) By contrast, our study passively recruited all smokers attending stop smoking services, who were not reimbursed for participation. Second, and relatedly, given ethnic variation in NMR,(27) our results may reflect genuine differences in UK vs. North American smokers, where the current trial was 95% white,

which was substantially lower (55% white) in the North American trial.⁽⁴¹⁾ Third, while clinical trials have high internal validity assessing efficacy of treatments with high fidelity and good implementation, they lack the external validity of population studies which assess treatment effectiveness outside a controlled environment, with suboptimal implementation. Fourth, clinical trials will seek to maximise follow-up response to obtain an accurate estimate of the treatment effect whereas follow-up rates in population studies such as ours tend to be lower, leading to potential underestimates of treatment effects in the context of intention-to-treat analysis. Fifth, compliance was not assessed, and it is difficult to compare drug effects if compliance differs. The NRT arms differed substantially, with the majority of NRT users being dual users while the previous clinical trial used only transdermal patch. It is possible that dual NRT is as useful for normal metabolizers as varenicline. Lastly, and importantly, whereas in clinical trials participants are randomly allocated to treatment, smokers in our study self-selected their treatment and normal metabolizers were less likely to use group support (see limitations below). Although we did control for a range of covariates, the difference in this study and the previous clinical trial may therefore in part reflect confounding due to factors not accounted for.

While we did not detect the predicted interaction of NMR status with pharmacotherapy type on smoking cessation outcomes, we did observe an association of NMR status with pharmacotherapy effectiveness as a function of the behavioural support provided. Specifically, the effectiveness of varenicline over NRT was markedly more pronounced in the context of group rather than individual behavioural support but only for slow and not normal metabolisers. It should be acknowledged that this finding needs to be interpreted with caution, given small numbers. Individual support is more commonly accessed via community practitioners (e.g. General Practitioners, pharmacists) who provide shorter and fewer counselling sessions whereas group support is almost exclusively accessed via specialist stop smoking clinics which

provide more intensive, longer treatment, often over six to eight face-to-face sessions.⁽⁴⁶⁾ Given that varenicline has a worse side-effect profile for slow rather than normal metabolisers,⁽⁴¹⁾ slow metabolisers may discontinue varenicline earlier in the context of individual support, with less intensive support and limited advice on medication adherence. By contrast, being provided with more extensive advice on medication side effects and the importance of adherence in the context of group support, slow metabolisers may be more likely to continue treatment with varenicline, resulting in superior outcomes. This would be less of an issue for normal metabolisers who experience fewer side-effects.

This study has a number of limitations. As previously mentioned, participants self-selected their treatment and thus findings may be the result of an artefact due to confounding. Although we controlled for a range of potentially important covariates, not all putative factors (including medication adherence) were measured and some variables were only assessed with a single item. In particular as previously reported, normal metabolisers were less likely to choose group behavioural support,⁽³⁰⁾ which appears to affect pharmacotherapy outcome in this analysis. While the longitudinal design allowed us to investigate temporal effects, it did result in high levels of attrition and relatively small numbers of smokers who had quit by the end of the study, limiting our power to detect more complex effects. Moreover, even though we used a prospective design, this does not allow us to make causal claims. Finally, while the initial sample collected was largely representative of smokers seeking treatment in the UK, there were some marked demographic and treatment differences between those who had complete data and were included in the analysis and those who were excluded. Findings may therefore not generalise beyond the current sample.

Conclusions

Our study did not replicate clinical trial data, suggesting that NMR status of treatment-seeking smokers does not substantially contribute to differential pharmacotherapy effectiveness in Stop Smoking Services, when both pharmacotherapy and behavioural support are self-selected. While there may be a number of reasons for this, one potential explanation for this finding is the distinctly different effect that varying levels of behavioural support may have on treatment with NRT and varenicline for slow vs normal metabolisers. If correct and corroborated by further studies, this interpretation would have clear implications for treatment delivery to slow and normal metabolisers: the benefits of varenicline over NRT previously identified may only become apparent for slow metabolisers if sufficient behavioural support is provided. Altogether, our results suggest that NMR status may not have a large effect on real-world self-selected treatment outcomes and that the impact of NMR may be context-dependent. While this suggests one potential reason for the apparent discordance in the literature, further clarification of the role of the rate of nicotine metabolism, choice of group counselling and their interaction with treatment effect is required. Specifically, it will be important to understand 1) whether dual NRT behaves the same as transdermal NRT alone with respect to NMR predicting outcomes in the context of clinical trials and 2) the impact of the type of counselling (and associated treatment adherence) on NMR status by pharmacotherapy effects in the context of real-world settings where treatment choice is based on NMR status which is prescriber-selected rather than self-selected.

Declarations

Ethics approval and consent to participate

The study received ethical approval from the South East Scotland Research Ethics Committee (11/AL/0256) and from University of Toronto. All participants included in this analysis consented to take part and research complied with the ethical principles on human research, as per the Declaration of Helsinki.

Consent for publication

Not applicable.

Availability of data and material

Questionnaires and anonymised datasets generated and/or analysed during the current study are available from the corresponding author on reasonable request.

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Author contributions statement

LB and LS conceived the original idea for this study. LB, AM, RFT and LS obtained funding. LB and LS managed the day-to-day running of the study. RFT undertook the sample analysis and LS undertook the data analyses and wrote the initial draft with further input from all authors. LS is guarantor for this article. LB, AM, RFT and LS read, reviewed and approved the final version. All researchers listed as authors are independent from the funders and all final decisions about the research were taken without constraint by the investigators. LS had full access to all the data in the study and had final responsibility for the decision to submit for publication.

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Table 1: Sample characteristics by data availability

| <i>All participants</i> | Total Sample (N=3,044) | Analytic Sample (N=1,556) | Excluded Sample (N=1,488) | <i>P</i> |
|---|----------------------------------|-------------------------------------|-------------------------------------|----------|
| Mean (SD) age | 42.5 (14.1) | 41.8 (14.1) | 43.2 (14.1) | 0.004 |
| % (N) Female | 55.9 (1,701) | 52.3 (814) | 59.6 (887) | <0.001 |
| % (N) Higher SES (ABC1) | 23.4 (712) | 23.9 (372) | 22.8 (340) | 0.494 |
| % (N) White | 96.0 (2,922) | 94.9 (1,477) | 97.1 (1,445) | 0.002 |
| % (N) Married/Cohabiting | 47.0 (1,431) | 46.7 (727) | 47.3 (704) | 0.771 |
| % (N) Poor physical health | 56.2 (1,711) | 56.0 (871) | 56.5 (840) | 0.798 |
| % (N) Poor wellbeing | 44.7 (1,318) | 43.1 (671) | 46.5 (647)* | 0.064 |
| % (N) Higher dependence score (HSI ≥ 4) | 49.4 (1,489) | 47.8 (743) | 51.1 (746)† | 0.068 |
| % (N) Past year quit attempt | 41.5 (1,237) | 40.9 (637) | 42.0 (600)‡ | 0.552 |
| % (N) Determination to quit | | | § | <0.001 |
| Not at all | 8.8 (261) | 8.4 (131) | 9.1 (130) | |
| Very determined | 39.5 (1,176) | 43.0 (669) | 35.6 (507) | |
| Extremely determined | 51.8 (1,542) | 48.6 (756) | 55.2 (786) | |
| % (N) Behavioural support | | | | <0.001 |
| Individual support | 78.6 (2,385) | 75.7 (1,178) | 81.7 (1,207) | |
| Group support | 21.4 (648) | 24.3 (378) | 18.3 (270) | |
| % (N) Pharmacological support | | | | <0.001 |
| Single NRT¶ | 17.7 (540) | 17.2 (268) | 18.3 (272) | |
| Combination NRT** | 30.6 (933) | 36.9 (574) | 24.1 (359) | |
| Varenicline | 43.0 (1,308) | 45.9 (714) | 39.9 (594) | |
| Bupropion | 0.9 (27) | - | 1.8 (27) | |
| Varenicline and NRT | 4.2 (129) | - | 8.7 (129) | |
| Other combination | 0.2 (5) | - | 0.3 (5) | |
| None | 3.4 (102) | - | 6.9 (102) | |
| % (N) SSS Region | | | | <0.001 |
| North | 50.3 (1,532) | 44.9 (699) | 56.0 (833) | |

| | | | | |
|--|--------------|------------|------------|-------|
| Midlands | 38.3 (1,166) | 41.6 (647) | 34.9 (519) | |
| South | 11.4 (346) | 13.5 (210) | 9.1 (136) | |
| <hr/> | | | | |
| <i>Participants with valid saliva sample</i> | (N=1,826) | (N=1,556) | (N=270) | |
| <hr/> | | | | |
| % (N) Slow metabolisers | 28.5 (520) | 29.0 (451) | 25.6 (69) | 0.273 |

SES = socioeconomic status; HSI = Heaviness of Smoking Index; NRT =Nicotine replacement therapy; SSS = Stop smoking services; *98 cases missing; †29 cases missing; ‡61 cases missing; §65 cases missing; ||1 cases missing; ¶Single NRT products used were patches (N=361; 66.9%), inhalator (N=64; 11.9%), lozenges (N=64; 11.9%), gum (N=26; 4.8%), nasal/mouth spray (N=23; 4.3%) and minitabs (N=2; 0.4%); **Combination NRT most commonly involved patch together with inhalator (N=289; 31.0%), lozenge (N=182; 19.5%), nasal/mouth spray (N=153; 16.4%) or gum (N=85; 9.1%) and around 16% (N=178) used more than two NRT products concurrently

Table 2: Associations between sample characteristics and smoking cessation outcomes at 4-week and 12-month follow-up

| | Verified continuous abstinence (N=1,556) | | | | | | | |
|--|---|--------|------------------|--------|--------------------|-------|------------------|-------|
| | 4-week follow-up | | | | 12-month follow-up | | | |
| | Adj. RR (95%CI) | P | Adj. RR (95%CI) | P | Adj. RR (95%CI) | P | Adj. RR (95%CI) | P |
| NMR x Pharmacotherapy (indicator: slow NMR and NRT) | 0.88 (0.55-1.40) | 0.586 | - | - | 1.15 (0.51-2.58) | 0.741 | - | - |
| Normal NMR (ref. slow NMR) | 1.08 (0.78-1.49) | 0.664 | 1.01 (0.80-1.28) | 0.940 | 0.85 (0.46-1.56) | 0.591 | 0.91 (0.61-1.37) | 0.657 |
| Varenicline (ref. NRT) | 1.69 (1.14-2.51) | 0.009 | 1.54 (1.24-1.91) | <0.001 | 1.32 (0.67-2.58) | 0.420 | 1.45 (0.99-2.12) | 0.056 |
| Group support (ref. individual support) | 1.54 (1.17-2.02) | 0.002 | 1.54 (1.17-2.03) | 0.002 | 1.29 (0.82-2.04) | 0.270 | 1.29 (0.81-2.04) | 0.281 |
| Age | 1.50 (1.33-1.70) | <0.001 | 1.50 (1.33-1.70) | <0.001 | 1.15 (0.95-1.40) | 0.143 | 1.16 (0.95-1.40) | 0.144 |
| Female (ref. male) | 1.16 (0.93-1.43) | 0.188 | 1.16 (0.93-1.43) | 0.188 | 0.82 (0.56-1.20) | 0.297 | 0.82 (0.56-1.20) | 0.298 |
| Higher SES/ABC1 (ref. C2DE) | 1.43 (1.11-1.83) | 0.005 | 1.43 (1.11-1.83) | 0.005 | 1.43 (0.96-2.14) | 0.081 | 1.43 (0.95-2.14) | 0.083 |
| White ethnicity (ref. other ethnicity) | 0.98 (0.60-1.61) | 0.938 | 0.99 (0.60-1.62) | 0.963 | 1.19 (0.44-3.21) | 0.737 | 1.18 (0.44-3.16) | 0.746 |
| Married/Cohabiting (ref. single) | 1.35 (1.09-1.67) | 0.006 | 1.35 (1.09-1.67) | 0.006 | 1.64 (1.11-2.41) | 0.012 | 1.64 (1.11-2.41) | 0.012 |
| Poor physical health (ref. good physical health) | 0.90 (0.71-1.13) | 0.352 | 0.90 (0.71-1.13) | 0.358 | 1.03 (0.68-1.56) | 0.880 | 1.03 (0.68-1.56) | 0.884 |
| Poor wellbeing/WHO score ≤50% (ref. WHO score >50%) | 1.08 (0.87-1.35) | 0.470 | 1.08 (0.87-1.35) | 0.479 | 1.29 (0.88-1.89) | 0.196 | 1.29 (0.88-1.90) | 0.191 |
| Higher dependence/HSI ≥ 4 (ref. HSI <4) | 0.75 (0.60-0.93) | 0.008 | 0.74 (0.60-0.92) | 0.007 | 0.55 (0.38-0.81) | 0.002 | 0.56 (0.38-0.81) | 0.002 |
| Determination to quit | | | | | | | | |
| Very (ref. not determined) | 1.73 (1.16-2.60) | 0.008 | 1.73 (1.16-2.59) | 0.008 | 0.80 (0.42-1.54) | 0.512 | 0.81 (0.42-1.54) | 0.514 |
| Extremely (ref. not determined) | 2.19 (1.47-3.28) | <0.001 | 2.19 (1.47-3.27) | <0.001 | 0.86 (0.45-1.64) | 0.641 | 0.86 (0.45-1.64) | 0.646 |
| Past year quit attempt (ref. no attempt) | 0.90 (0.73-1.12) | 0.345 | 0.90 (0.73-1.12) | 0.354 | 0.75 (0.51-1.12) | 0.156 | 0.75 (0.51-1.11) | 0.153 |
| SSS Region | | | | | | | | |
| North (ref. South) | 1.50 (1.06-2.11) | 0.021 | 1.51 (1.07-2.13) | 0.019 | 1.60 (0.82-3.14) | 0.172 | 1.59 (0.81-3.13) | 0.179 |
| Midlands (ref. South) | 1.65 (1.17-2.33) | 0.005 | 1.66 (1.17-2.34) | 0.004 | 1.85 (0.94-3.64) | 0.077 | 1.84 (0.93-3.63) | 0.079 |

Adj. RR = adjusted risk ratio (adjusted for all variables shown); CI = confidence interval; NMR = nicotine metabolite ratio; HSI = Heaviness of Smoking Index; SES = socioeconomic status; NRT = nicotine replacement therapy; SSS = Stop smoking services; WHO = World Health Organisation; ref. = reference category

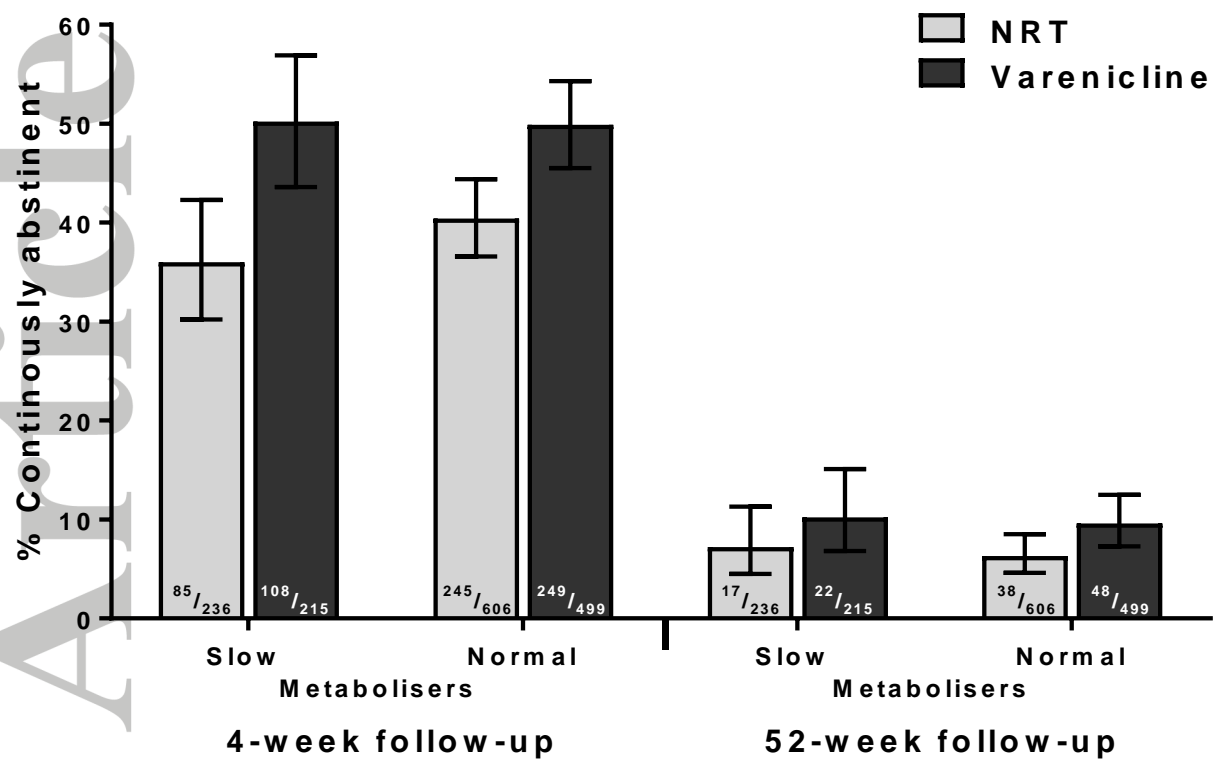


Figure 1: Raw continuous abstinence rates by pharmacotherapy type and NMR status (N=1,556)

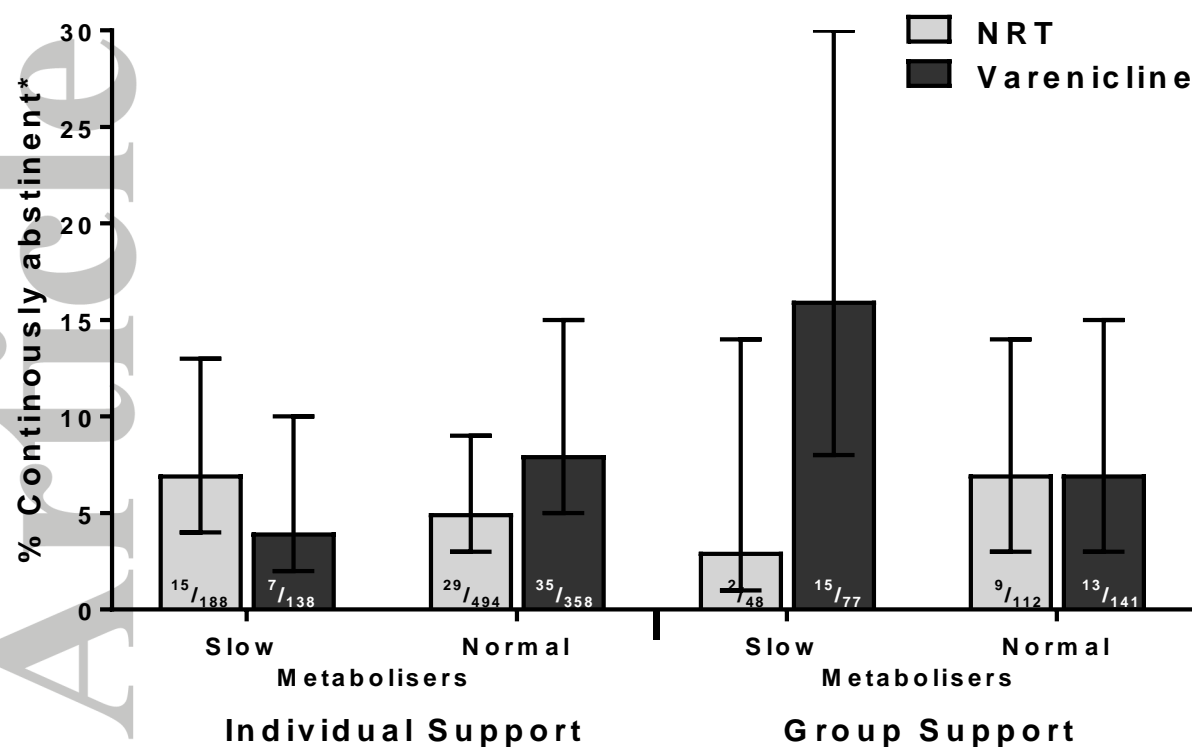


Figure 2: Adjusted continuous 12-month verified abstinence rates based on estimated marginal means by pharmacotherapy and behavioural support type and NMR status (N=1,556)